

# NORTH HERTFORDSHIRE DISTRICT COUNCIL



3 December 2021

Our Ref Finance, Audit and Risk Committee/15  
December 2021  
Contact. Committee Services  
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To: Members of the Committee: Councillors Keith Hoskins MBE (Chair), Claire Billing (Vice-Chair), Keith Hoskins, Clare Billing, Adam Compton, Morgan Derbyshire, Terry Hone, Sean Prendergast and Adem Ruggiero-Cakir

Substitutes: Councillors Juan Cowell, George Davies, Steve Jarvis, Nigel Mason, Kay Tart and Terry Tyler

## **NOTICE IS HEREBY GIVEN OF A**

## **MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE**

to be held in the

## **COUNCIL CHAMBER, DISTRICT COUNCIL OFFICES**

On

**WEDNESDAY, 15TH DECEMBER, 2021 AT 7.30 PM**

Yours sincerely,

Jeanette Thompson  
Service Director – Legal and Community

**\*\*MEMBERS PLEASE ENSURE THAT YOU DOWNLOAD ALL  
AGENDAS AND REPORTS VIA THE MOD.GOV APPLICATION  
ON YOUR TABLET BEFORE ATTENDING THE MEETING\*\***

## **Agenda** **Part I**

<b>Item</b>		<b>Page</b>
<b>1.</b>	<b>WELCOME</b>	
<b>2.</b>	<b>APOLOGIES FOR ABSENCE</b> Members are required to notify any substitutions by midday on the day of the meeting.  Late substitutions will not be accepted and Members attending as a substitute without having given the due notice will not be able to take part in the meeting.	
<b>3.</b>	<b>MINUTES - 15 SEPTEMBER 2021</b> To take as read and approve as a true record the minutes of the meeting of the Committee held on the 15 September 2021.	(Pages 5 - 12)
<b>4.</b>	<b>NOTIFICATION OF OTHER BUSINESS</b> Members should notify the Chair of other business which they wish to be discussed at the end of either Part I or Part II business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency.  The Chair will decide whether any item(s) raised will be considered.	
<b>5.</b>	<b>CHAIR'S ANNOUNCEMENTS</b> Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chair of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wishing to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.	
<b>6.</b>	<b>PUBLIC PARTICIPATION</b> To receive petitions, comments and questions from the public.	
<b>7.</b>	<b>EXTERNAL AUDIT 2020/21 UPDATE REPORT</b> <b>REPORT OF ERNST AND YOUNG</b>  To receive the External Audit 2020/21 Update Report.	(Pages 13 - 20)

8. **SIAS 2021/22 PROGRESS REPORT** (Pages  
REPORT OF THE SHARED INTERNAL AUDIT SERVICE 21 - 44)
- To receive the 2021/22 Progress Report from the Shared Internal Audit Service.
9. **SAFS 2021/22 PROGRESS REPORT** (Pages  
REPORT OF THE SHARED ANTI FRAUD SERVICE 45 - 60)
- To receive the 2021/22 Progress Report of the SAFS.
10. **QUARTERLY RISK MANAGEMENT UPDATE** (Pages  
REPORT OF THE SERVICE DIRECTOR – RESOURCES 61 - 82)
- An update on Q2 Risk Management at North Hertfordshire District Council.
11. **SECOND QUARTER REVENUE BUDGET MONITORING 2021/22** (Pages  
REPORT OF THE SERVICE DIRECTOR - RESOURCES 83 - 96)
- To consider the Second Quarter Revenue Monitoring report 2021/22.
12. **SECOND QUARTER INVESTMENT STRATEGY (CAPITAL AND TREASURY) REVIEW 2021/22** (Pages  
REPORT OF THE SERVICE DIRECTOR – RESOURCES 97 - 128)
- To receive an update on progress with delivering the capital and treasury strategy for 2021/22, as at the end of September 2021.
13. **DRAFT BUDGET 2022/23** (Pages  
REPORT OF THE SERVICE DIRECTOR – RESOURCES 129 - 154)
- To receive the Draft Budget for 2022/2023.
14. **INTEGRATED PERFORMANCE MANAGEMENT** (Pages  
REPORT OF THE SERVICE DIRECTOR – RESOURCES 155 - 160)
15. **APPOINTMENT OF EXTERNAL AUDITORS FOR 2023/24 TO 2027/28** (Pages  
REPORT OF THE SERVICE DIRECTOR – RESOURCES 161 - 166)
16. **POSSIBLE AGENDA ITEMS FOR FUTURE MEETINGS**  
The Chair to lead a discussion regarding possible agenda items for future meetings.